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For

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

rst Named

Inventor : Clark E. Smith et al.

Appln. No.: 10/804,402

Filed : March 18, 2004

BATTERY CHARGER WITH

AUTOMATIC CUSTOMER NOTIFICATION SYSTEM

Docket No.: C382.12-0180

Group Art Unit:

Examiner:

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS BEING SENT BY U.S. MAIL, FIRST CLASS, TO THE COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, THIS

DAY OF JUNE

, 20<u>*0*4</u>

PATENT ATTOR

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of U.S. Patents and Published Applications.

TIME OF FILING

The information disclosure statement is being filed:

1. X with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.

METHOD OF PAYMENT

<u>X</u>	_No	fee	is	re	equ	ired.					
	Att	ache	ed	is	a	check	in	the	amount	of	\$

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

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AGR:tkj

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FORM PTO-1449	Atty. Docket No.: C382.12-0180	Serial No.: 10/804,402
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
O TO TO THE STATE OF THE STATE	Clark E. Smith et a	1.
JUN 1 1 2004 E TRADEMENT POCCH	Filing Date	Group Art:
	March 18, 2004	

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	2,514,745	7/11/50	Dalzell	171	95	
AB	3,593,099	7/13/71	Scholl	320	13	
AC	3,607,673	9/21/71	Seyl	204	1	
AD	3,676,770	7/11/72	Sharaf et al.	324	29.5	
AE	3,729,989	5/1/73	Little	73	133	
AF	3,753,094	8/14/73	Furuishi et al.	324	29.5	
AG	3,808,522	4/30/74	Sharaf	324	29.5	
АН	3,811,089	5/14/74	Strezelewicz	324	170	
AI	3,873,911	3/25/75	Champlin	324	29.5	
AJ	3,886,443	5/27/75	Miyakawa et al.	324	29.5	
AK	3,889,248	6/10/75	Ritter	340	249	
AL	3,906,329	9/16/75	Bader	320	44	

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No			
AM	59-17892	1/30/84	Japan			Abstract Only			
AN	59-17893 ·	1/30/84	Japan			Abstract Only			
AO	59-17894	1/30/84	Japan ,			Abstract Only			
	OTHER ART (Includ	ing Author,	Title, Date, Pertine	ent Pages	, Etc.)				
AP		"Electrochemical Impedance Spectroscopy in Battery Development and Testing", Batteries International, April 1997, pgs. 59 and 62-63.							
AQ	"Battery Impedance 1959, pgs. 922-925		llihnganz et al., Ele	ctrical I	Engineer	ing, September			
AR	"Determining The E 368.	nd of Batte	ry Life", by S. DeBar	delaben,	IEEE, 19	986, pgs. 365-			
AS	"A Look at the Imp 397.	edance of a	Cell", by S. Debarde	laben, <u>I</u>	EEE, 1988	8, pgs. 394-			

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PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION SASCLOSURE STATEMENT	Applicant:	
JUN 1 1 2004 E	Clark E. Smith et a	1.
2,000	Filing Date	Group Art:
TRADEMAE	March 18, 2004	

Examiner Initial	Document No.	Date Name		Class	Sub Class	Filing Date If Appropriate
AT	3,909,708	9/30/75	Champlin	324	29.5	
AU	3,936,744	2/3/76	Perlmutter	324	158	
AV	3,946,299	3/23/76	Christianson et al.	320	43	
AW	3,947,757	3/30/76	Grube et al.	324	28	
AX	3,969,667	7/13/76	McWilliams	324	29.5	
AY	3,979,664	9/7/76	Harris	324	17	
AZ	3,984,762	10/5/76	Dowgiallo, Jr.	324	29.5	
BA	3,984,768	10/5/76	Staples	324	62	
ВВ	4,008,619	2/22/77	Alcaide et al.	73	398	
ВС	4,053,824	10/11/77	Dupuis et al.	324	29.5	
BD	4,070,624	1/24/78	Taylor	327	158	
BE	4,086,531	4/25/78	Bernier	324	158	

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	Document No.	Date	Country	Class	Sub Class	Translation Yes No
 BF	60225078	11/9/85	Japan			Abstract Only
 BG	04372536 .	12/25/92	Japan			Abstract Only
 ВН	04131779	5/6/92	Japan .			Abstract Only

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

BI	"The Impedance of Electrical Storage Cells", by N.A. Hampson et al., <u>Journal of Applied Electrochemistry</u> , 1980, pgs. 3-11.
BJ	"A Package for Impedance/Admittance Data Analysis", by B. Boukamp, Solid State Ionics, 1986, pgs. 136-140.
BK	"Precision of Impedance Spectroscopy Estimates of Bulk, Reaction Rate, and Diffusion Parameters", by J. Macdonald et al., <u>J. Electroanal, Chem.</u> , 1991, pgs. 1-11.

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DATE CONSIDERED:

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
	Clark E. Smith et al.	
	Filing Date	Group Art:
	March 18, 2004	

Examiner Initial	Document No.	Date Name		Class	Sub Class	Filing Date If Appropriate
BL	4,112,351	9/5/78	Back et al.	324	16	
ВМ	4,114,083	9/12/78	Benham et al.	320	39	
BN	4,126,874	11/21/78	Suzuki et al.	354	60	
ВО	4,178,546	12/11/79	Hulls et al.	324	158	
ВР	4,193,025	3/11/80	Frailing et al.	324	427	
BQ	4,207,611	6/10/80	Gordon	364	580	2
BR	4,315,204	2/9/82	Sievers et al.	322	28	
BS	4,316,185	2/16/82	Watrous et al.	340	636	
ВТ	4,322,685	3/30/82	Frailing et al.	324	429	
BU	4,363,407	12/14/82	Barkler et al.	209	3.3	
. BV	4,369,407	1/18/83	Korbell	324	416	·
BW	4,412,169	10/25/83	Dell'Orto	320	64	

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		Document No.	Date	Country	Class	Sub Class	Translation Yes No
	вх	03282276	12/12/91	Japan			Abstract Only
	ВУ	03274479	12/5/91	Japan			Abstract Only
·	BZ	5216550	8/27/93	Japan			Abstract Only
•	CA	59017894	1/30/84	Japan			Abstract Only

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CB "Internal Resistance: Harbinger of Capacity Loss in Starved Electrolyte Sealed Lead Acid Batteries, by Vaccaro, F.J. et al., AT&T Bell Laboratories, 1987 IEEE, Ch. 2477, pp. 128,131.

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FORM I	PTO-14	49						y. Docket 32.12-0180	No.:	Serial No 10/804,40	
	L	ST OF PATENT: APPLICAN DISCLOS	T'S IN	FORMA	TION	FOR	Applicant:				
:							Clark E. Smith et al.				
		•					Filing Date			Group Art	:
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	СС	4,390,828	6/28/	83	Conve	rse et al.		320	32		
	CD	4,392,101	7/5/8	3	Saar	et al.	ï	320	20		
	CE	4,396,880	8/2/8	3	Winde	bank		320	21		
	CF	4,408,157	10/4/	83	Beaub	ien		324	62		
	CG	4,423,378	12/27	/83	Marin	o et al.		324	427		
	СН	4,423,379	12/27	/83	Jacobs et al.			324	429		
	CI	4,424,491	1/3/8	4	Bobbett et al.			324	433		
	CJ	4,459,548	7/10/	84	Lentz et al.			324	158	e	
	СК	4,514,694	4/30/	85	Finge	Finger		324	429		
	CL	4,520,353	5/28/	85	McAuliffe		340	636			
	СМ	4,667,279	5/19/	87	Maier		363	46			
	CN	4,678,998	7/7/8	7 ·	Muramatsu			324	427		
	СО	4,679,000	7/7/8	7 :	Clark		324	428			
	CP	4,697,134	9/29/			m et al.		320	48		
		T		FC	REIGN	PATENT DOCUMEN'	rs		· I		
		Document	No.	D	ate	Country	,	Class	Sub Class	Transla Yes	tion No
	CQ	EP 0 772 056	5 A1	5/7,	/97 .	EPO					Х
-	CR	EP 0 637 754	A1 .	2/8/	/95	EPO				Х	
	CS	2 088 159 A		6/3/	/82	Great Britain				Х	
	1	OTHER ART (Includ	ing A	uthor,	Title, Date,	Pert	inent Page	s, Etc.)		
	CT.	Lead Storage	Batte:	ries	for Ger	Maintenance, Therating Station gineers, Inc.,	ons .	and Substa	tions, Th	e Institute	of
	cu	Lead Acid Ba	tterie	s: Pa	rt I Co	to Assess the onductance/Capa 92, pgs. 218-23	acit				d
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FORM PTO-1449	Atty. Docket No.: C382.12-0180	Serial No.: 10/804,402
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	Clark E. Smith et a	1.
	Filing Date	Group Art:
	March 18, 2004	

Examiner Initial	Document No.	Dat	:e		Name	Class	Sub Class	Filing Date If Appropriate
CV	4,707,795	11/17	/87	Alber	et al.	364	550	
CW	4,709,202	11/24	/87	Koenc	k et al.	320	43	
CX	4,710,861	12/1/	87	Kanne	r	363	46	
CY	4,719,428	1/12/	88	Liebe	rmann	324	436	
CZ	4,743,855	5/10/	88	Randi	n et al.	324	430	
DA	4,816,768	3/28/	89	Champ	lin	324	428	
DB	4,820,966	4/11/	89	Fridm	an	320	32	
DC	4,825,170	4/25/	89	Champ	lin	324	436	
DD	4,849,700	7/18/	89	Morio	ka et al.	324	427	
DE	4,881,038	11/14	14/89 Cham		Champlin		426	
DF	4,912,416	3/27/	27/90 C		Champlin		430	
DG	4,929,931	5/29/	90	McCuen		340	636	
DH	4,931,738	6/5/9	0	MacIntyre et al.		324	435	
DI	4,947,124	8/7/9	0	Hause	r	324	430	
DJ	4,956,597	9/11/	90	Heave	y et al.	320	14	
DK	4,968,941	11/6/	90	Rogers		324	428	
			FO	REIGN	PATENT DOCUMENTS			<u> </u>
	Document	No.	Da	ate	Country	Clas	Sub S Class	Translation Yes No
DL	WO 93/22666		11/1	.1/93	WIPO			X
DM	WO 98/58270	/	/ 12/2		WIPO			Χ .
	OTHER ART	(Includ	ing A	uthor,	Title, Date, Pert	inent Pag	es, Etc.)	
DN	"Battery Imp 1959, pgs.			E. Wi	llihnganz et al.,	Electrica	l Engineer	ring, September
DO	"JIS Japanes Standards As	se Indu	stria ion U	l Stand DC, 62	dard-Lead Acid Bat 1.355.2:629.113.00	teries fo 6, Nov. 1	r Automob:	iles", <u>Japanese</u>

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	March 18, 2004				

Examiner Initial	Document No.	Dat	:e		Name	Clas	ss	Sub Class	Filing Date If Appropriate
DP	5,004,979	4/2/9	1 1	Marin	o et al.	324	1	160	
DQ	5,032,825	7/16/	91 2	Xuzni	cki	340)	636	
DR	5,047,722	9/10/	91 [Wurst	et al.	324	1	430	-
DS	5,087,881	2/11/	92 1	Peaco	ck	324	1	378	
DT	5,126,675	6/30/	92	Yang		324	1	435	
DU	5,140,269	8/18/	92 (Champ	lin	324	1	433	
. DV	5,144,248	9/1/9	2 7	Alexa	ndres et al.	324	1	428	
DW	5,170,124	12/8/	92 I	Blair	et al.	324	1	434	
DX	5,204,611	4/20/	93 1	Nor et al.		320		21	
DY	5,214,370	5/25/	5/25/93 H		et al.	320)	35	
DZ	5,214,385	5/25/	93 (Gabriel et al.		324		434	
EA	5,241,275	8/31/	93 1	Fang		324	1	430	-
EB	5,254,952	10/19	/93	Salley et al.		324	1	429	
EC	5,281,919	1/25/	94 1	Palan	isamy	324		427	
ED	5,281,920	1/25/	94 V	Wurst		324		430	
EE	5,295,078	3/15/	94 5	Stich	et al.	364		483	
EF	5,298,797	3/29/	94 I	Redl		307	,	246	
EG	5,300,874	4/5/9	4 5	Shima	moto et al.	320)	15	
EH	5,302,902	4/12/	94 (Groeh	1	324		434	-
		1	FORE	EIGN	PATENT DOCUMENTS				
	Document	No.	Dat	te	Country	С	lass	Sub Class	Translation Yes No
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FORM PTO-1449	Atty. Docket No.: C382.12-0180	Serial No.: 10/804,402
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	·
•	Clark E. Smith et al.	
	Filing Date	Group Art:
	March 18, 2004	

Examiner Initial	Document No.	. Date	Name	Class	Sub Class	Filing Date If Appropriate
EK	5,331,268	7/19/94	Patino et al.	320	20	;
EL	5,336,993	8/9/94	Thomas et al.	324	158.1	
EM	5,352,968	10/4/94	Reni et al.	320	.35	
EN	5,343,380	8/30/94	Champlin	363	46	
EO	5,365,160	11/15/94	Leppo et al.	320	22	
EP	5,365,453	11/15/94	Startup et al.	364	481	
EQ	5,381,096	1/10/95	Hirzel	324	427	
ER	5,426,371	6/20/95	Salley et al.	324	429	
ES	5,432,426	7/11/95	Yoshida	320	20	
ET	5,434,495	7/18/95	Toko	320	44	
EU	5,442,274	8/15/95	Tamai	320	23	
EV	5,457,377	10/10/95	Jonsson	320	5	
EW	5,449,996	9/12/95	Matsumoto et al.	320	20	
EX	5,449,997	9/12/95	Gilmore et al.	320	39	
EY	5,485,090	1/16/96	Stephens	324	433	
EZ	5,519,383	5/21/96	De La Rosa	340	636	
FA	5,528,148	6/18/96	Rogers	324	426	
FB	5,550,485	8/27/96	Falk	324	772	
FC	5,561,380	10/1/96	Sway-Tin et al.	324	509	

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
FD		, i				-

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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FORM PTO-1449	Atty. Docket No.: C382.12-0180	Serial No.: 10/804,402
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	Clark E. Smith et a	1.
	Filing Date	Group Art:
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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
FF	5,562,501	10/8/96	Kinoshita et al.	439	852	
FG	5,572,136	11/5/96	Champlin	324	426	
FH	5,574,355	11/12/96	McShane et al.	320	39	
FI	5,585,728	12/17/96	Champlin	324	427	
FJ	5,592,093	1/7/97	Klingbiel	324	426	
FK	5,596,260	1/21/97	Moravec et al.	320	30	
FL	5,598,098	1/28/97	Champlin	324	430	
FM	5,602,462	2/11/97	Stich et al.	323	258	
FN	5,606,242	2/25/97	Hull et al.	320	48	
FO	5,621,298	4/15/97	Harvey	320	5	
FP	5,642,031	6/24/97	Brotto	320	21	
FQ	5,650,937	7/22/97	Bounaga .	364	483	
FR	5,652,501	7/29/97	McClure et al.	320	17	
FS	5,675,234	10/7/97	Greene	320	15	
FT	5,677,077	10/14/97	Faulk	429	90	
FU	5,699,050	12/16/97	Kanazawa	340	636	
FV	5,701,089	12/23/97	Perkins	327	772	
FW	5,717,336	2/10/98	Basell et al.	324	430	
FX	5,757,192	5/26/98	McShane et al.	324	427	
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	Document No.	Date	Country	Class	Sub Class	Translation Yes No
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FORM PTO-1449	Atty. Docket No.: C382.12-0180	Serial No.: 10/804,402
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	Clark E. Smith et al.	
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	March 18, 2004	

Examiner Initial	Document No.	Dat	:e		Name	Class	Sub Class	Filing Date If Appropriate
GA	5,773,978	6/30/	98	Becke	r	324	430	
GB	5,789,899	8/4/9	8	van P	huoc et al.	320	30	
GC	5,793,359	8/11/	98	Ushiķ	ubo	345	169	
GD	5,821,756	10/13	/98	McSha	ne et al.	324	430	
GE	5,831,435	11/3/	98	Troy		324	426	
GF	5,872,443	2/16/	99	Willi	amson	320	21	
GG	5,914,605	6/22/	99	Bertn	ess	324	430	
GH	5,945,829	8/31/	99	Bertn	ess	324	430	ų.
GI	3,356,936	12/5/	67	Smith		324	29.5	
GJ	5,488,300	1/30/	1/30/96		Jamieson		432	
GK	6,002,238	12/14	12/14/99		lin	320	134	,
GL	6,037,777	3/14/	3/14/00		lin	324	430	
GM	6,051,976	4/18/	4/18/00		ess	324	426	-
GN	6,081,098	6/27/	00	Bertness et al.		320	134	
GO	6,091,245	7/18/	00	Bertn	ess	324	426	
GP	6,104,167	8/15/	00	Bertn	ess et al.	320	132	
GQ	6,137,269	10/24	/00	Champ	lin	320	150	
			FO	REIGN	PATENT DOCUMENTS	· · · · · · · · · · · · · · · · · · ·		
	Document	No.	Da	ate	Country	Class	Sub Class	Translation Yes No
GR	DE 29 26 716	6 B1 ,	1/15	/81	Germany			Х
GS	0 022 450 A	L .	. 1/21		EPO			Х .
	OTHER ART	(Includ	ing A	uthor,	Title, Date, Pert	inent Page	es, Etc.)	
GT	"Performance April 18-20,	of Dry 1912,	y Cel.	ls", b r No.	y C. Hambuechen, P 19, pgs. 1-5.	reprint of	Am. Elec	trochem. Soc.,
GÜ	"A Bridge fo Electrochemi	r Meas	uring	Stora , prep	ge Battery Resista rint 79-20, April	nce", by E 1941, pgs.	E. Willihn 253-258.	canz, <u>The</u>

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FORM I	PTO-14	49						Att C38	y. Docket 2.12-0180	No.:	Serial No.: 10/804,402
	LI	ST OF PATENT: APPLICAN DISCLO		FORMA	TION	FOR		Applicant:			
								Cla	rk E. Smi	th et al.	
								Fil	ing Date		Group Art:
								March 18, 2004			
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	GV	3,562,634	2/9/7	1 .	Latne	r			31	04	
	GW	4,379,990	4/12/	83	Sieve	rs et a	al.		322	99	,
	GX	5,144,218	9/1/9	2	Bossc	ha			320	44	
	GY	5,315,287	5/24/	94	Sol				340	455 ·	
	GZ	5,469,043	11/21	/95	Chern	g et al	1.		320	31	
_	HA	5,546,317	8/13/	96	Andri	eu			364	481	
	нв	5,583,416	12/10	/96	Klang		*		320	22	
	НC	5,589,757	12/31	/96	Klang				320	22	
	HD	5,656,920	8/12/	97	Cherng et al.			320	31		
	HE	5,710,503	1/20/	98 -	Sider	is et a	al.		320	6	
_	HF	5,760,587	6/2/9	8	Harve	У			324	434	
	НG	5,808,469	9/15/	98	Koper	a			324	43.4	,
	нн	6,008,652	12/28	/99	Theof	anopou.	los et al	L.	324	434	
	HI	6,009,369	12/28	/99	Boisv	ert et	al.		701	99	
	НĴ	6,031,354	2/29/	00	Wiley	et al	•	,	320	116	
	НĶ	6,037,751	3/14/	00	Klang		<u>.</u>		320	160	-
	HL	6,072,299	6/6/0	0	Kurie	et al			320	112	
	НМ	6,094,033	7/25/	00	Ding	et al.			320	132	
	HN	6,163,156	12/19	/00	Bertn	ess			324	426	
	НО	6,172,483	1/9/0	1.	Champ	lin			320	134	
	HР	6,172,505	1/9/0	1	Bertn	ess			324	430	
				FO	REIGN	PATENT	DOCUMENT	rs			
•		Document	No.	D	ate		Country		Clas	Sub Class	Translation Yes No
<u>.</u>	НQ	2 749 397	-	12/5	5/97	Franc	e				Х
	HR 59215674 12/5/84 Japan							Abstract Only			
		OTHER ART (Includ	ing A	uthor,	Title	, Date, F	Pert	inent Page	es, Etc.)	
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										heet 11 of 21
FORM P	TO-14	49	····				Att C38	y. Docket 2.12-0180	No.:	Serial No.: 10/804,402
	LI	ST OF PATENT: APPLICAN DISCLOS	T'S IN	FORMA	TION	FOR	App	olicant:		
		•					Cla	rk E. Smi	th et al.	
-							Fil	ing Date		Group Art:
							Mar	ch 18, 20	04	
				1	U.S. PA	TENT DOCUMENTS				
Exami Init:		Document No.	Dat	e		Name		Class	Sub Class	Filing Date If Appropriate
	нт	3,989,544	11/2/	76	Santo			429	65	
	HU	4,745,349	5/17/8	38	Palan	isamy et al.		320	22	
	HV	4,876,495	10/24	/89	Palan	isamy et al.		320	18	
	HW	4,937,528	6/26/	90	Palan	isamy		324	430	
	НХ	4,968,942	11/6/	90	Palan	isamy		324	430	
	HY	5,179,335	1/12/	93	Nor			320	21	
	HZ	5,266,880	11/30	/93	Newla	nd		320	14	
	IA	5,451,881	9/19/	95	Finge	r		324	433	
	IB	5,705,929	1/6/9	3	Carav	ello et al.		324	430	
	IC	5,717,937	2/10/	98	Fritz			395	750.01	
	ID	5,862,515	1/19/	99	Kobay	ashi et al.		702	63	
	IE	5,895,440	4/20/	99	Proct	or et al.		702	63	
	IF	5,929,609	7/27/	99	Joy e	t al.		322	25	
	IG	6,072,300	6/6/0)	Tsuji			320	116	
	IH	4,659,977	4/21/8	37	Kisse	l et al.		320	64	
	II	4,913,116	4/3/90)	Katog	i et al.		123	425	
	IJ	5,160,881	11/3/	92	Schra	mm et al.		322	7	
	IK	5,338,515	8/16/	94	Dalla	Betta et al.		422	95	
	IL	5,537,967	7/23/	96	Tashi	ro et al.		123	792.1	
	IM	5,548,273	8/20/	96	Nicol	et al.		340	439	
	IN	5,747,909	5/5/98	3	Syver	son et al.		310	156	
		·		FC	REIGN	PATENT DOCUMENT	rs			
		Document	No.	D	ate	Country		Class	Sub Class	Translation Yes No
	IO	62-180284		8/7/	/87 ·	Japan				Abstract
-	IP	7-128414		5/19	9/95	Japan				Abstract
	·	OTHER ART (Includ	ing A	uthor,	Title, Date, E	ert	inent Page	es, Etc.)	•
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EXAMINER:

DATE CONSIDERED:

FORM PTO-1449	Atty. Docket No.: C382.12-0180	Serial No.: 10/804,402
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
	Clark E. Smith et a	al.
	Filing Date	Group Art:
	March 18, 2004	,

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
IR	6,114,834	9/5/00	Parise	320	109	
IS	6,150,793	11/21/00	Lesesky et al.	320	104	·
IT	3,876,931	4/8/75	Godshalk	324	29.5	
IU	5,347,163	9/13/94	Yoshimura	307	66	
IV	5,939,861	8/17/99	Joko et al.			
IW	5,339,018	8/16/94	Brokaw	320	35	
IX	5,412,323	5/2/95	Kato et al.	324	429	
IY	5,426,416	6/20/95	Jefferies et al.	340	664	
IZ	5,825,174	10/20/98	Parker	324	106	
JA	5,939,855	8/17/99	Proctor et al.	320	104	
JB	5,254,952	10/19/93	Salley et al.	324	429	
JC.	4,217,645	8/12/80	Barry et al.	364	483	
JD	4,633,418	12/30/86	Bishop	364	. 554	
JE	5,321,626	6/14/94	Palladino	364	483	
JF	5,969,625	10/19/99	Russo	340	636	
JG	6,222,369	4/24/01	Champlin	324	430	
JH	6,225,808	5/1/01	Varghese et al.	324	426	
JI	6,249,124	6/19/01	Bertness	324	426	
JJ	6,259,254	7/10/01	Klang	324	427	
JK	6,262,563	7/17/01	Champlin	320	134	
JL	6,294,896	9/25/01	Champlin	320	134	
JM	6,294,897	9/25/01	Champlin	320	153	

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	Document No.	Date	Country	Class	Sub Class	Translation Yes No
JN	4-8636	1/13/92	Japan			X (Abstract)
JO	63027776	2/5/88	Japan			Abstract Only

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FORM I	PTO-14	49					Att C38	ty. Docket 32.12-0180	Serial No.: 10/804,402	
	L	ST OF PATENT: APPLICAN DISCLO:	T'S IN	FORMA	TION	5 FOR	App	olicant:		
							Cla	ark E. Smi	th et al.	
							Fil	ling Date		Group Art:
							Maı	rch 18, 20	04	
			1	Ţ	J.S. PA	ATENT DOCUMENTS		1		
Exam: Init		Document No.	Dat	e.		Name		Class	Sub Class	Filing Date If Appropriate
-	JP	4,379,989	4/12/	83	Kurz	et al.		320	26	
	JQ	4,680,528	7/14/	87	Mikam	i et al.		320	32	
	JR	5,633,985	5/27/	97	Sever	son et al.		395	2.76	
	JS	5,739,667	4/14/	98	Matsu	da et al.		320	5	
	JT	4,024,953	5/197	7	Nailo	r, III		206	344	
	JU	6,250,973	6/200	1	Lower	y et al.		439	763	
JV 6,254,438 7/2001 JW 6,304,087 10/16/0				1	Gaunt			439	755	
	JW	6,304,087	10/16	/01	Bertness			324	426	
	JX	6,310,481	10/30	/01	Bertess			324	430	
	JY	6,313,607	11/6/	01	Champlin			320	132	
	JZ	6,313,608	11/6/	01	Varghese et al.			32	132 .	
	KA	6,316,914	11/13	/01	Bertness			320	134	
	KB	5,435,185	7/25/	95	Eagan	_		73	587	
	KC	5,445,026	8/29/	95	Eagan			73	591	
	KD	4,667,143	5/19/	37	Coope	r et al.		320	22	
	KE	4,847,547	7/11/	39	Eng,	Jr. et al.		. 320	35	·
	KF	5,574,355	11/12	/96	McSha	ne et al.		320	39	
	KG	4,663,580	5/5/8		Wortm			320	35	
				FO	REIGN	PATENT DOCUMENT	rs 			_
		Document	No.	Da	ate	Country		Class	Sub Class	Translation Yes No
	КН	WO 99/23738		5/14	/99	WIPO				Х
	KI	WO 94/05069		3/3/	_	PCT	2			Х
		OTHER ART (Includ	ing A	uthor,	Title, Date, F	Pert:	inent Page	s, Etc.)	
	KJ	National Sem March 1969.	icondu	ctor (Corpora	ation, "High Q	Not	ch Filter"	, 3/69, Li	inear Brief 5,
	KK	Burr-Brown C 071, 1994.	orporat	ion,	"Desig	gn A 60 Hz Noto	h F	ilter with	the UAF42	2", 1/94, AB-
	KL	National Sem 12/94, RRD-B				ation, "LMF90-4 1994.	th-O	rder Ellip	tic Notch	Filter",
FYAMIN	IFD.					DATE COL	MOTO	EDED.	-	

	•	•			Sheet 14 of 21			
FORM F	PTO-14	19			1 2			Serial No.: 10/804,402
	LI		S AND PUBLIC T'S INFORMA SURE STATEM	Applicant:				
					Clark E. Smith et al.			
					Filing Date			Group Art:
					March 18, 2004			
				U.S. PATENT DOCUMENTS	3			
Examiner Document Initial No. Date Name			Class	Sub Class	Filing Date If Appropriate			
KM 6,323,650 11/27/01 Bertness et al.			324	426				

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
KM	6,323,650	11/27/01	Bertness et al.	324	426	
KN	6,329,793	12/11/01	Bertness et al.	320	132	
КО	6,331,762	.12/18/01	Bertness	320	134	
KP	6,332,113	12/18/01	Bertness	702	63	
KQ	4,297,639	10/27/81	Branham	324	429	
KR	5,653,659	8/5/97	Kunibe et al.	477	111	
KS	6,161,640	12/19/00	Yamaguchi	180	65.8	
KT	4,351,405	6/28/82	Fields et al.	180	65	
KU	6,359,441	3/16/02	Bertness	324	426	
KV	4,047,091	9/1997	Hutchines et al.	363	59	
KW	5,653,659	8/1997	Kunibe et al.	477	111	
KX	5,637,978	6/1997	Kellett et al.	320	2	
KY	5,095,223	3/1992	Thomas	307	110	
KZ	5,194,799	3/1993	Tomantschger	320	2 .	
LA	5,754,417	5/1998	Nicollini	363	60	
LB						

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	Document No.	Date	Country	Class	Sub Class	Translatior Yes No						
LC												
LD												
LE			Title, Date, Pertine netrators" J.S. Poppe			nformation,						
			popper.com/, undated									
LF	LF "#12: LM78S40 Simple Switcher DC to DC Converter", ITM e-Catalog, downloaded from http://www.pcbcafe.com, undated											
LG	from http://www.pcbcafe.com, undated											

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FORM PTO-1449	Atty. Docket No.: C382.12-0180	Serial No.: 10/804,402
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
	Clark E. Smith et al.	
•	Filing Date	Group Art:
	March 18, 2004	
ILS PATENT DOCUMENTS	 	

			U.S. PATENT DOCUMENTS			
Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
LH	5,818,234	10/1998	McKinnon	324	433	
LI	6,140,797	10/2000	Dunn	320	105	
LJ	6,181,545	1/2001	Amatucci et al.	361	502	*
LK	6,346,795	2/2002	Haraguchi et al.	320	136	
LM	6,347,958	2/2002	Tsai	439	488	
LN	6,351,102	2/26/02	Troy	320	139	
LO	6,359,441	3/19/02	Bertness	324	426	
LP	6,363,303	3/26/02	Bertness	701	29	
LQ	5,037,778	8/6/91	Stark et al.	437	216	
LR	6,144,185	11/7/00	Dougherty et al.	320	132	
LS	5,711,648	1/27/98	Hammerslag	414	786	.40
LT	5,927,938	7/27/99	Hammerslag	414	809	
LU	5,951,229	9/14/99	Hammerslag	414	398	
LV	5,961,561	10/5/99	Wakefield, II	701	29	
LW	5,961,604	10/5/99	Anderson et al.	709	229	- 1
LX	6,236,332	5/22/01	Conkright et al.	340	825.06	
LY	6,351,102	2/26/02	Troy	320	139	
LZ	5,821,757	10/13/98	Alvarez et al.	324	434	
MA	6,167,349	12/26/00	Alvarez	702	63	

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No					
MB											
	OTHER ART (Includ	ing Author,	Title, Date, Pertin	ent Pages	, Etc.)						
MC	MC "DC-DC Converter Basics", Power Designers , downloaded from http://www.powederdesigners.com/InforWeb.design_center/articles/DC-DC/converter.shtm, undated										
MD											

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FORM PTO-1449	Atty. Docket No.: C382.12-0180	Serial No.: 10/804,402
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
	Clark E. Smith et al.	
	Filing Date	Group Art:
	March 18, 2004	

U.S. PATENT DOCUMENTS Examiner Document Sub Filing Date Initial Date Name Class Class If Appropriate No. 4,665,370 5/1987 324 ME Holland 429 11/1982 Bil et al. 324 426 MF 4,361,809 MG 6,392,414 5/21/02 Bertness 324 429 324 426 MH 6,417,669 7/9/02 Champlin 7/23/02 324 433 ΜI 6,424,158 Klang 320 132 6,441,585 8/17/02 Bertness ΜJ 6,445,158 9/3/02 Bertness et al. 320 104 MK ML6,384,608 5/7/02 324 425 Namaky MM 6,388,448 5/14/02 Cervas 324 426 320 MN 6,307,349 10/23/01 Koenck et al. 112 324 436 MO 6,411,098 6/25/02 Laletin 320 139 9/24/02 MP 6,456,045 Troy et al. 324 429 6,466,025 10/15/02 Klang MQ MR 6,466,026 10/15/02 Champlin 324 430 320 6 MS 4,564,798 1/14/86 Young 10/8/96 McClure 320 48 MT 5,563,496 320 MU 5,796,239 8/18/98 van Phuoc et al. 107

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
MV	RU 2089015-C1 ,	8/27/97	Russia	-		Abstract Only
MW	09061505	3/7/97	Japan			Abstract Only
MX	10056744	2/24/98	Japan			Abstract Only

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

MY	"Notification of Transmittal of The International Search Report or the Declaration", PCT/US02/29461.	
MZ	"Notification of Transmittal of The International Search Report or the Declaration", PCT/US03/07546.	

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DATE CONSIDERED:

Sheet 17 of 21 Atty. Docket No.: Serial No.: FORM PTO-1449 10/804,402 C382.12-0180 Applicant: LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT Clark E. Smith et al. Filing Date Group Art: March 18, 2004 U.S. PATENT DOCUMENTS Filing Date Document No. Sub Examiner Class If Appropriate Class Initial Date Name 12/19/89 Ueno 364 550 NA 4,888,716 320 132 NB 6,495,990 B2 12/17/02 Champlin 320 14 NC 4,385,269 5/24/83 Aspinwall et al. 8/11/87 Radomski 320 17 ND 4,686,442 702 63 5/9/00 Joyce NE 6,061,638 324 426 3/19/02 Henningson et al. 6,359,442 7/30/96 Dunstan 320 2 NG 5,541,489 324 430 NH 6,424,157 7/23/02 Gollomp et al. 324 433 NT 6,534,993 3/18/03 Bertness 439 762 6,544,078 4/8/03 Palmisano et al. N.T 4/29/03 Bertness 324 426 NK 6,556,019 324 426 NL6,566,883 5/20/03 Vonderhaar et al. 324 426 7/1/03 Bertness et al. NM 6,586,941 320 104 NN 6,597,150 7/22/03 Bertness et al. 2002/0171428 324 426 11/21/02 Bertness NO 29 7/17/01 701 NP 6,263,268 Nathanson FOREIGN PATENT DOCUMENTS Sub Translation Class Date Class Yes Country Document No. 11/9/00 WIPO Χ WO 00/67359 NQ 7/19/01 Х NR WO 01/51947 WIPO 2/5/98 WIPO Χ NS WO 98/04910 WO 00/62049 10/19/00 WIPO Х NU NV OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) "Notification of Transmittal of The International Search Report or the NW Declaration", PCT/US03/06577.

DATE CONSIDERED: EXAMINER:

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	·									Sheet 18 of 2
FORM PTO-1449							Atty. Docket No.: C382.12-0180			Serial No.: 10/804,402
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT							Applicant:			
							Cla	rk E. Smi	th et al.	
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							Mar	ch 18, 20	04	
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Exam: Init		Document No.	I	Date		Name		Class	Sub Class	Filing Date If Appropriate
	OA	5,410,754	4/1	995	Klc	otzbach et al.		370	85.13	
	ОВ	5,654,623	8/1	997	Shi	ga et al.		320	48	
	ос	6,055,468	4/2	000	Kan	nan et al.		701	29	
	OD	2003/0025481	2/2	003	Ber	tness		320	155	
	OE	5,313,152	5/1	994	Woz	niak et al.		320	118	
	OF	2003/0184306	10/	2003	Ber	tness et al.		324	426	
	OG	2004/0000891	1/2	004	Rai	chle et al.		320	107	
	ОН	3,750,011	7/1	973	Kre	ps		324	29.5	
	OI	5,159,272	10/	1992	Rac	et al.		324	429	
	OJ	5,325,041	6/1	994	Bri	.ggs		320	44	
	OK	6,526,361	2/2	003	Jon	es et al.		702	63	
	OL	6,667,624	12/	2003	Rai	chle et al.		324	522	
	OM	2002/0010558	1/2	4/02	Bertness et al.			702	63	
	ON	2002/0176010	11/	28/02	Wallach et al.			348	229.1	
	00	3,652,341	3/2	8/1972	Halsall et al.			136	176	
	OP	5,747,967	5/5	/1998		jadi et al.		320	39	
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		Document No	• .	Date	3	Country		Clas	Sub Class	Translation Yes No
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	Document No	Date	Country	Class	Sub Class	Translation Yes No
QQ	JP 11103503 A	4/1999 .	Japan			·X(Abstract)
OR	WO 01/59443	2/9/01	WIPO			Х
os	GB 2 246 916 A	10/8/90	Great Britian			
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

OW "Notification of Transmittal of The International Search Report or the Declaration", PCT/US03/07837.

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FORM PTO-1449 A						Atty. Docket No.: Serial No.: 10/804,402			
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT						Applicant:			
						Cla	ark E. Smi	th et al.	
						Fil	ling Date		Group Art:
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Examiner Initial	Document No.	l i	Date		Name		Class	Sub Class	Filing Date If Appropriate
OA	6,628,011	9/3	0/03	Dro	oppo et al.		307	43	
ОВ	5,780,980	7/1	4/98	Nai	ito		318	139	
ОС	5,412,308	5/2	/95	Bro	own		323	267	
OD	6,222,342	4/2	4/01	Egg	gert et al.		320	105	
OE	6,679,212	1/2	0/04	Ke]	lling		123	179.28	
OF	5,850,113	12/	15/98	Wei	imer et al.		307	125	
OG	6,242,887	6/5	/01	Bur	Burke		320	104	
OH	6,238,253	5/2	9/01	Qualls			439	759	
OI	4,056,764	11/	1/77	Endo et al.			320	3	
OJ	6,005,759	12/	21/99	Hart et al.			361	66	
OK	6,064,372	5/1	6/0ò	Kahkoska		-	345	173	
OL	5,323,337	6/2	1/94	Wilson et al.			364	574	
ОМ	5,978,805	11/	2/99	Car	rson		707	10	
ON	6,600,815	7/2	9/03	Wal	lding		379	93.07	(4)
00	4,933,845	6/1	2/90	Hay	/es		364	200	
OP	6,618,644	9/9		Bea			700	231	
			FORE	IGN	PATENT DOCUMENT	rs			
	Document No		Date	е	Country		Class	Sub Class	Translation Yes No
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FORM PTO-1449						Atty. Docket No.: Serial No.: 10/804,402				
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT							Applicant:			
					Clark E. Smi	th et al.				
				F	Filing Date		Group Art:			
							M	March 18, 20	04	
				U.S	5. P <i>I</i>	ATENT DOCUMENTS				
Exam: Init		Document No.	r	Date		Name		Class	Sub Class	Filing Date If Appropriate
	OA	5,982,138	11/	9/99	Kri	eger		320	105	
	ОВ	4,620,767	11/	4/86	Woo	olf		339	255	
	ос	4,934,957	6/1	9/90	Bel	lusci		439	504	
	OD ·	5,772,468	6/3	0/98	Kov	valski et al.		439	506	
	OE	5,108,320	4/2	8/92	Kim	nber		439	883	
	OF	5,453,027	9/2	6/95	Bue	Buell et al.		439	433	
	OG	5,865,638	2/2	/99	Tra	fton		439	288	
	ОН	3,796,124	3/1	2/74	Crosa			85	36	
	OI	4,280,457	7/2	8/81	Blo	xham		123	198	
	OJ	4,160,916	7/10	0/79	Papasideris			307	10	
	ок	6,356,042	3/1:	2/02	Kah	nlon et al.		318	138	·
	OL	6,259,170	7/10	0/01	Lin	noge et al.		307	10.8	
	ОМ	6,081,109	6/2	7/00	Sey	mour et al.		324	127	
	ON	6,031,368	2/2	9/00	Kli	ppel et al.		324	133	
	00	6,211,651	4/3,	/01	Nem	noto		320	133	
	OP	6,046,514	4/4		1	illard et al.		307	77	
				FORE	IGN	PATENT DOCUMENT	rs —			
		Document No		Date	e	Country		Class	Sub Class	Translation Yes No
	QQ									
	OR									
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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:				
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	Filing Date	Group Art:			
	March 18, 2004				

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